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PATENT
5053-31301

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Steven G. Doughty

Serial No.: 09/699,056

Filed: October 27, 2000

For: PROCESSING BUSINESS
DATA USING
USER-CONFIGURED
KEYS

§ Examiner: Unknown
§
§ Group Art Unit: 2163
§
§ Atty. Docket. No.: 5053-31301/EBM
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CERTIFICATE OF MAILING
37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Attn: BOX MISSING PARTS, Washington, D.C. 20231, on the date indicated below.

2/27/01
Date

G. Mosher
Geraldine Mosher

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION -
FILING DATE GRANTED, MAILED DECEMBER 28, 2000

ATTN: Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicant encloses the following in response to the Notice to File Missing Parts of Application - Filing Date Granted:

- (1) Copy of Form PTO-1533, Notice to File Missing Parts - Filing Date Granted (1 page);
- (2) Originally executed Assignment with cover sheet (3 pages);
- (3) Originally executed Declaration (2 pages);
- (4) Preliminary Amendment (2 pages);

- (5) Fee authorization in the amount of \$1,618.00 to cover the filing fee for a large entity as follows:

\$ 710.00 -- basic filing fee;
\$ 378.00 -- surcharge for excess claims (21 @ \$18/ea.);
\$ 400.00 -- surcharge for excess independent claims (5 @ \$80/ea.);
\$ 130.00 -- surcharge for late filing of declaration and fee; and

- (6) Fee authorization in the amount of \$40.00 to cover the assignment recordation fee; and

- (7) A return postcard.

If any extension of time is required, Applicant hereby requests the appropriate extension of time. If any fees are inadvertently omitted or if any additional fees are required or have been overpaid, please appropriately charge or credit those fees to Conley, Rose & Tayon, P.C. Deposit Account Number 50-1505/5053-31301/EBM

Respectfully submitted,



Mark DeLuca
Reg. No. 44,649

Patent Agent for Applicant

CONLEY, ROSE & TAYON, P.C.
P.O. BOX 398
AUSTIN, TX 78767-0398
(512) 476-1400 (voice)
(512) 703-1250 (facsimile)

Date: 2/27/01



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G. Mosher
Geraldine Mosher

FEE AUTHORIZATION

Assistant Commissioner for Patents
Washington, D.C. 20231

The Commissioner is hereby authorized to charge the following fees to Conley, Rose & Tayon,
P.C. Deposit Account Number 501505/5053-31301/EBM:

\$ 40.00 - Assignment Filing Fee

Total Amount: \$40.00

Attorney Docket No.: 5053-31301/EBM

The Commissioner is also authorized to charge any extension fee or other fees which may be necessary to the same account number. If the above mentioned account is found to have insufficient funds, the Commissioner is authorized to charge Conley, Rose & Tayon, P.C. Deposit Account Number 501623/5053-31301/EBM.

Respectfully submitted,



Mark DeLuca
Reg. No. 44,649

Patent Agent for Applicant

CONLEY, ROSE & TAYON, P.C.
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AUSTIN, TX 78767-0398
(512) 703-1254 (voice)
(512) 703-1250 (facsimile)

Date: 2/27/01



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G. Mosher
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FEE AUTHORIZATION

Assistant Commissioner for Patents
Washington, D.C. 20231

The Commissioner is hereby authorized to charge the following fees to Conley, Rose & Tayon, P.C. Deposit Account Number 501505/5053-31301/EBM:

- \$ 710.00 - Utility Patent Application Filing Fees;
- \$ 378.00 - Excess Claims Fees;
- \$ 400.00 - Excess Independent Claims Fees;
- \$ 130.00 - Surcharge for Late Filing; and

Total Amount: \$1,618.00

Attorney Docket No.: 5053-31301/EBM

The Commissioner is also authorized to charge any extension fee or other fees which may be necessary to the same account number. If the above mentioned account is found to have insufficient funds, the Commissioner is authorized to charge Conley, Rose & Tayon, P.C. Deposit Account Number 501623/5053-31301/EBM.

Respectfully submitted,



Mark DeLuca
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Date: 2/27/01



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/699,056 | 10/27/2000 | Steven G. Doughty | 5053-31301 |

ERIC B. MEYERTONS
CONLEY, ROSE & TAYON, P.C.
P. O. BOX 398
AUSTIN, TX 78767-0398



FORMALITIES LETTER



OC000000005642912

Date Mailed: 12/28/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1800.
 - \$1080 for 60 total claims over 20.
 - \$720 for 9 independent claims over 3.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2640.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/699,056
00000023 501623
03/07/2001 NBERE
01 FC:101 710.00 CH
02 FC:105 130.00 CH
03 FC:103 378.00 CH
04 FC:102 400.00 CH

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